

随机算法

deterministic ALG (post)

输入给定. 输出?

Randomized alg

[secretary]

Hiring problem.

n candidates 看完 k/N ?

- hire the best candidates (all)
- minimize # candidates that are hired.

$i_0, i_1, i_2, \dots, i_n$ for $i=1$ to n
if i is the best so far
hire (i)

any deterministic hires n candidates in worst cases

$1 + \ln n$ candidates in expectations.

randomly permute all candidates,

再执行
刚刚:

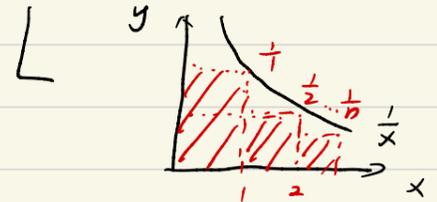
for $i=1$ to n
if i is the best so far
hire (i)

A_i = candidate i is the best among the first i candidates.

$$\Pr(A_i) = \frac{1}{i}$$

$$x_i = \begin{cases} 1 & i \text{ hired} \\ 0 & \text{otherwise} \end{cases}$$

$$X = \sum_{i=1}^n x_i \quad E[X] = \sum_{i=1}^n E[x_i] = \sum_{i=1}^n \frac{1}{i} \leq \ln n + 1$$



下方: $1 + \int_1^n \frac{1}{x} = 1 + \ln n$

hire one candidate.

maximize the probability that the best candidate is hired.

- randomly permute all
- interview the first k candidates (only interview)
- for $i=k+1$ to n .

if candidate i is better than the best of the first k candidates

4. hire i ;

5. break.

$\Pr(\text{the best candidate is hired})$

$$= \sum_{i=k+1}^n \Pr(\text{... at pos } i \text{ and } i \text{ is hired})$$

$$= \sum_{i=k+1}^n \Pr(A_i \wedge B_i)$$

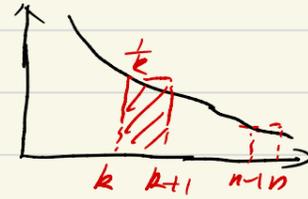
$$= \sum_{i=k+1}^n \Pr(A_i) \cdot \Pr(B_i | A_i)$$

$\frac{1}{n}$ 0 0 0 0 0
1 k $k+1$ i
no hire

$P(B_i | A_i) = \Pr$ candidate $k+1 \dots i-1$ is worse than the best of the first k .

$= \Pr$ (the best of first $i-1$) is among the candidates $1 \dots k$

原式 = $\sum_{i=k+1}^n \frac{1}{n} \cdot \frac{k}{i-1} = \frac{k}{n} \sum_{i=k}^{n-1} \frac{1}{i} > \frac{k}{n} \cdot \ln \frac{n}{k}$



$> \int_k^n \frac{1}{x} dx = \ln \frac{n}{k}$

当 $k = \frac{n}{e}$ 时 $P_i \geq \frac{1}{e}$

random permute $(a_1 \dots a_n)$

idea 1

$a_1 \dots a_i \dots a_n$

$k_i = \text{random}(1, n^3)$ 按 k_i 重排

按 key: $a_i \cdot a_j = \frac{1}{n^3}$

tot: $\sum_{i,j} a_{ij} \leq \frac{1}{n}$

idea 2

random shuffle

for $i = n$ to 1

$j = \text{random}(1, i)$

exchange a_i with a_j

3-SAT

$(x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_4) \wedge (\dots)$

n : variables

k : # clauses

找到一个 assignment. 满足尽可能多的 clauses

$x_i = \begin{cases} T & \text{with Prob } \frac{1}{2} \\ F & \dots \end{cases}$ 不得谓解质量

$Y = \#$ clauses being satisfied.

? $E[Y] = \frac{7}{8}k$

\exists alg. 至少满足 $\frac{7}{8}k$ #? \checkmark

$\Pr(Y \geq \frac{7}{8}k) > 0$ 存在!

Monte Carlo

蒙特卡洛. 时间 \checkmark
质量 \times

$\Pr(Y \geq \frac{7}{8}k) = ?$

$E[Y] = \sum_{i=0}^k i \cdot \Pr(Y=i) = \frac{7}{8}k$

Let k' be the largest int $< \frac{7}{8}k$.

$= \sum_{i=0}^{k'} i \cdot \Pr(Y=i) + \sum_{i=k'+1}^k i \cdot \Pr(Y=i)$

$\leq k' \cdot \sum_{i=0}^{k'} \Pr(Y=i) + k \cdot \sum_{i=k'+1}^k \Pr(Y=i)$

$= k' \cdot \Pr(Y < \frac{7}{8}k) + k \cdot \Pr(Y \geq \frac{7}{8}k)$

$\leq k' + k \cdot \Pr(Y \geq \frac{7}{8}k)$ (整数)

$\Rightarrow k \cdot \Pr(Y \geq \frac{7}{8}k) \geq \frac{7}{8}k - k' \geq \frac{1}{8}k$

$\Pr(Y \geq \frac{7}{8}k) \geq \frac{1}{8}$

Las Vegas

质量 \checkmark

时间 \times

跑 $\frac{8}{7}k$ 次

$\frac{8}{7}k$ times in expectations

satisfy \geq

不仅是期望

$\frac{8}{7}k \ln k$ 次

$\leq (1 - \frac{1}{8k})^{\frac{8}{7}k \ln k}$

$\leq (e^{-1})^{\ln k} \leq \frac{1}{k}$

$(1 - \frac{1}{x})^x \leq e^{-1}$

Prob of fail

with probability $1 - \frac{1}{k}$

find an assignment satisfy $\geq \frac{7}{8}k$ clauses

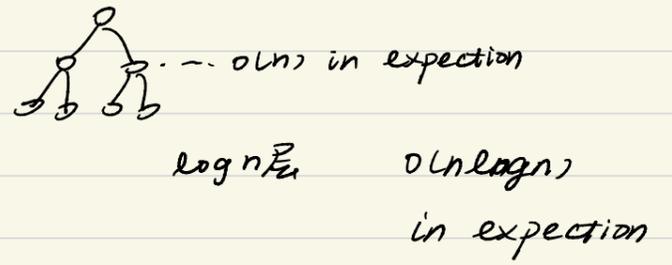
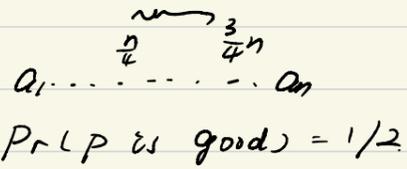
Quicksort(A)

if $|A| \leq 5$: trivial
 else choose a pivot P from A ;
 for each element $a \in A$
 put a in A^- if $a < P$
 ----- A^+ >
 Quicksort(A^-)
 ----- A^+
 Output $A^- P A^+$

A pivot is good if $|A^-| \geq \frac{1}{4}|A|$ and $|A^+| \geq \frac{1}{4}|A|$

idea 1

- random pick a pivot P from A .
- 1. if P is good.
- 2. use it
- 3. else.
- 4. go to 1.



idea 2. random pick a pivot

pick: use it anyway. $O(n \log n)$ in expectation
 for $O(1) + O(\# \text{ comparisons})$

total running time = $O(\text{total \# times})$

$A = \{a_1, a_2, \dots, a_n\}$ in increasing order

for $a_i, a_j \in A$

$$x_{ij} = \begin{cases} 1 & \text{if } a_i, a_j \text{ are compared} \\ 0 & \text{otherwise} \end{cases}$$

$$X = \sum_{i < j} x_{ij} \quad E[X] = \sum_i \sum_{j > i} E[x_{ij}]$$

- 比较的概率 a_i a_j
- ① a_i/a_j was picked as a pivot
有一个为 pivot
 - ② a_i & a_j was in the same group at that time

$$Pr[a_i \text{ or } a_j \text{ was the first pivot among } a_i \dots a_j] = \frac{2}{j-i+1}$$

$$E[X] = \sum_i \sum_{j > i} \frac{2}{j-i+1} = \sum_{i=1}^n \sum_{t=1}^{n-i} \frac{2}{t+1} \leq \sum_{t=1}^n \sum_{t=1}^n \frac{2}{t} = O(n \log n)$$

并行算法

$a+b$ 需要多久

$O(\log a + \log b)$ or $O(L)$

Turing Machine

RAM Model

(二进制)

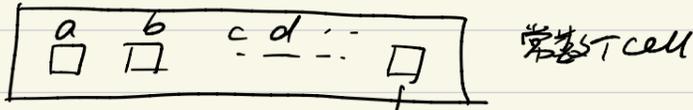
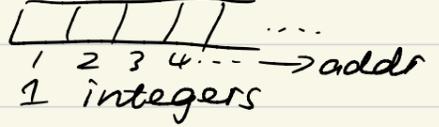
Random Access Machine

RAM:

Memory: an infinite sequence of cells

+

CPU



register

4 atomic operations

认为单位时间

① init reg

e.g. $a=1$ $a=b$

② arithmetic

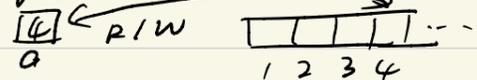
int div

$c = a + - * / b$

③ comparison

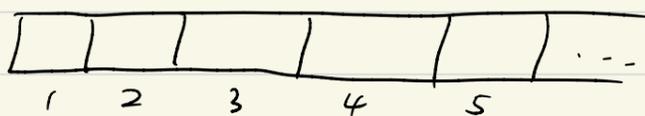
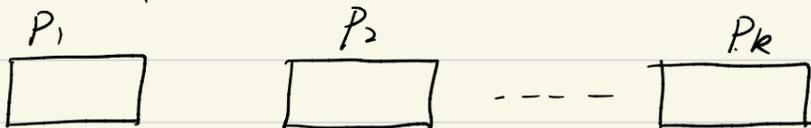
$a < b ?$

④ memory access



PRAM

多核



共享内存 (可能有冲突)

一般

① CREW Concurrent read & Exclusive write

② EREW 都排队

③ CRCW ... 处理冲突

Summation

Input: $A(1), \dots, A(n)$

Output: $\sum A(i)$

for i $1 \leq i \leq n$ **parallel**

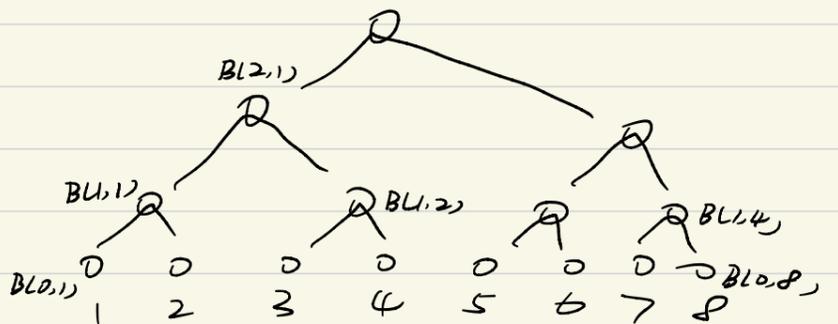
$B(0, i) = A(i)$

for $h = 1$ to $\log_2 n$

for i $1 \leq i \leq \frac{n}{2^h}$ **parallel**

$B(h, i) = B(h-1, 2i-1) + B(h-1, 2i)$

return $B(\log_2 n, 1)$



$W = O(n)$

$D = O(\log n)$

left child right child

$T_p(n)$: running time with p processors on input size of n .

$T_1(n) = O(n)$

\hookrightarrow work 总工作量 W : total amount of atomic operations required to complete the alg.

$T_\infty(n) = O(\log n)$

\hookrightarrow Depth D : length of the longest chain of sequential dependencies

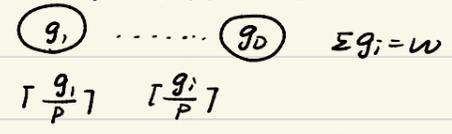
反映 how parallel the alg is 并行程度

$T_p(n)$ for arbitrary p

$\frac{W}{p}$? $T_p(n) \geq \max(\frac{W}{p}, D)$ 上界?

Brent's theorem: $T_p(n) \leq \frac{W}{p} + D$

proof. 每一组内没有依赖关系 (组间有)



$$T_p(n) = \sum_{i=1}^D \lceil \frac{g_i}{p} \rceil \leq \sum_{i=1}^D (\frac{g_i}{p} + 1) = \frac{W}{p} + D$$

A_1	W_1	D_1
A_2	W_2	D_2

串行 A_1, A_2 : $W = W_1 + W_2, D = D_1 + D_2$

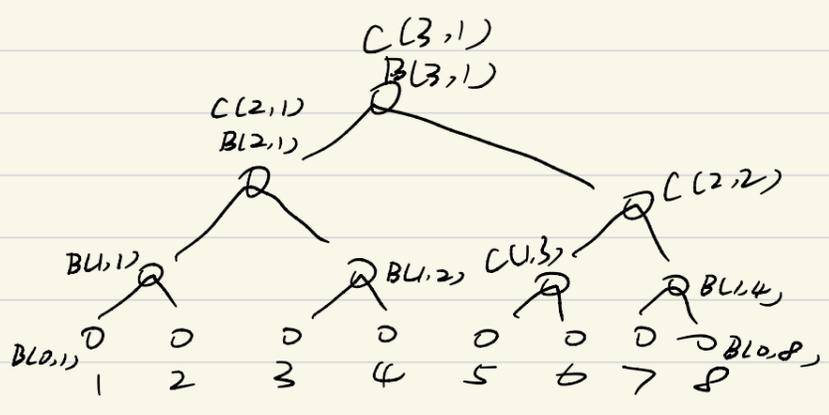
并行 for $i \leq 2$ pardo A_i $W = W_1 + W_2$
 $D = \max(D_1, D_2)$

Prefix Sum

Input: $A(1), \dots, A(n)$
 Output: $\sum_{j=1}^1 A(j), \sum_{j=1}^2 A(j), \dots, \sum_{j=1}^n A(j)$

serial: $W = O(n^2)$
 $D = O(n)$ 无法并行

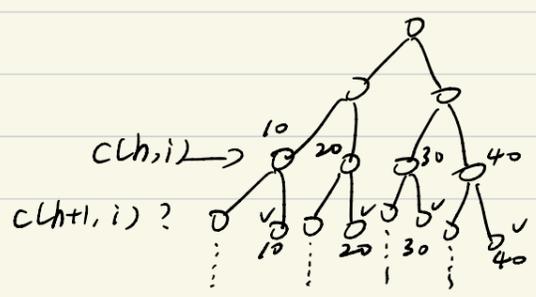
Naive: 并行求 n 个 prefix sum $W = \sum_{j=1}^n O(j) = O(n^2)$
 $D = O(\log n)$



$C(h, i) = \sum_{j=1}^i A(j)$, $A(j)$ is the rightmost leaf of the subtree rooted at $C(h, i)$

如: $C(1, 3) = A_1 + \dots + A_3$

Goal: $C(0, 1), C(0, 2), \dots, C(0, n)$



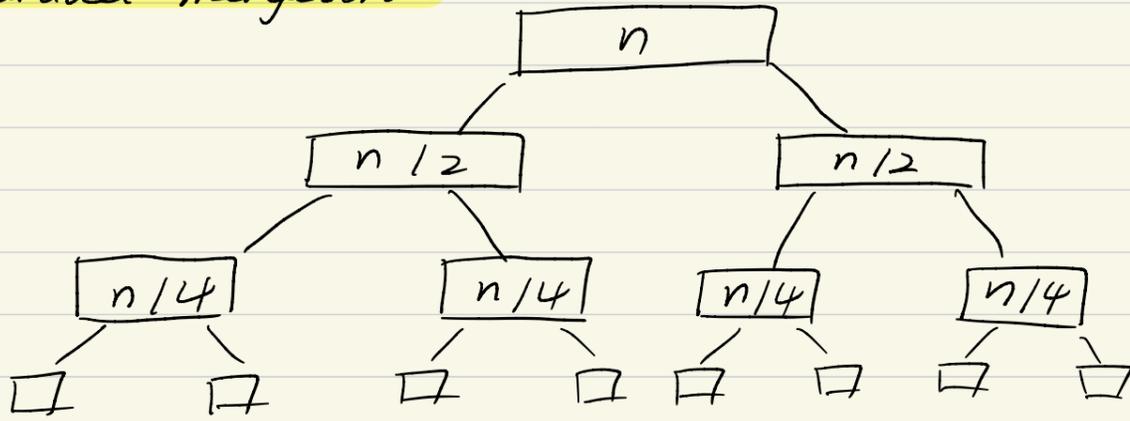
if $C(h+1, i)$ is a left child $C(h+1, i) = C(h, \frac{i-1}{2}) + B(h+1, i)$

Remark: if $i=1$ $C(h+1, i) = B(h+1, i)$
 if $C(h+1, i)$ is a right child $C(h+1, i) = C(h, \frac{i}{2})$

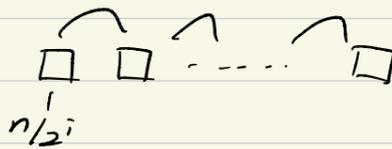
$W_B = O(n)$
 $D_B = O(\log n)$
 $W_C = O(n)$
 $D_C = O(\log n)$

$\Rightarrow B.C \text{ 并行 } \begin{cases} W = O(n) \\ D = O(\log n) \end{cases}$

Parallel mergesort



i -level 2^i nodes



$W_i = O(\frac{n}{2^i}) = D_i$ 第 i 层 $W_i = O(n)$
 $D_i = O(\frac{n}{2^i})$

ranking
 parallel merge \checkmark
 $D_i = O(\log \frac{n}{2^i})$
 $D = \sum D_i = O(\log^2 n)$

实际上 $D \rightarrow O(\log n)$ R. Cole 88
 J comp

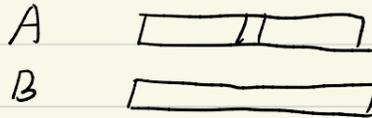
$\therefore W = \sum W_i = O(n \log n)$
 $D = \sum D_i = O(n)$

瓶颈在于 merge.

Merge

Input: sorted array A & B (假设 $a_i \neq b_j$)
 Output: an sorted array C.

serial: $W = O(n) = D$



$rank(i, B) = \text{rank of } A[i] \text{ in } B$
 $rank(i, A) = \text{rank of } B[i] \text{ in } A$

如果知道 rank for $i \leq i \leq n$ pardo

$C[i + rank(i, B)] = A[i]$

$C[i + rank(i, A)] = B[i]$

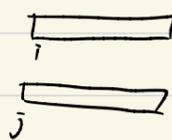
$W = O(n) \quad D = O(1)$

Ranking

Output: $rank(i, B)$ & $rank(i, A)$ for all i .

类似 merge

1. serial ranking



if $a_i < b_j$:

$rank(i, B) = j$

$i++$

if $a_i > b_j$

$rank(i, A) = i$

$j++$

$W = O(n), D = O(n)$

idea:

ALG 1 work \downarrow
 ALG 2 depth \downarrow) combine

2. binary search

for $i, 1 \leq i \leq n$ pardo

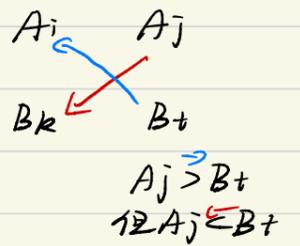
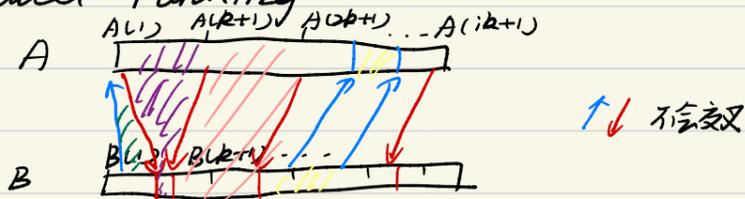
$rank(i, B) = BS(A[i], B)$

$rank(i, A) = BS(B[i], A)$

$W = O(n \log n)$

$D = O(\log n)$

3. parallel ranking



① using binary search ranking on selected entries

分组 左边的组 < 右边

$$W_1 = O\left(\frac{2n}{k} \log n\right) \quad D_1 = O(\log n)$$

② serial ranking for each group (parallelly)

$$W_2 = O(Ln) \quad \text{每组最多 } 2k \text{ entries}$$

$$D_2 = O(k)$$

$$\text{total: } W = O\left(\frac{n}{k} \log n + n\right)$$

$$W = O(Ln)$$

$$D = O(\log n + k)$$

$$\text{Let } k = \log n \text{ 则 } D = O(\log n)$$

Maximum finding

Input: $A(1), \dots, A(n)$

Output: $\max_i A(i)$

0. serial $W = D = O(Ln)$

1. use the summation alg ($\rightarrow \max$) $W = O(Ln)$ $D = O(\log n)$

2. Compare all pairs

for $i \mid 1 \leq i \leq n$ par do

$B(i) = 0$

for every pair (i, j) with $i < j$ par do

if $A(i) < A(j)$

$B(i) = 1$

Common CRCW

↳ 要求同时写的值一样

else $B(j) = 1$

for $i \mid 1 \leq i \leq n$ par do

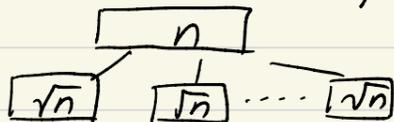
if $B(i) == 0$:

$A(i)$ is the maximum

$$W = O(Ln^2)$$

$$D = O(L)$$

3. Divide-and-conquer



① recursively solve \sqrt{n} subproblems

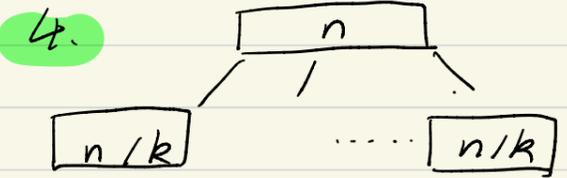
② find the maximum among the \sqrt{n} numbers by comparing all pairs

$$W(n) = \sqrt{n} W(\sqrt{n}) + O(Ln)$$

$$D(n) = D(\sqrt{n}) + O(L)$$

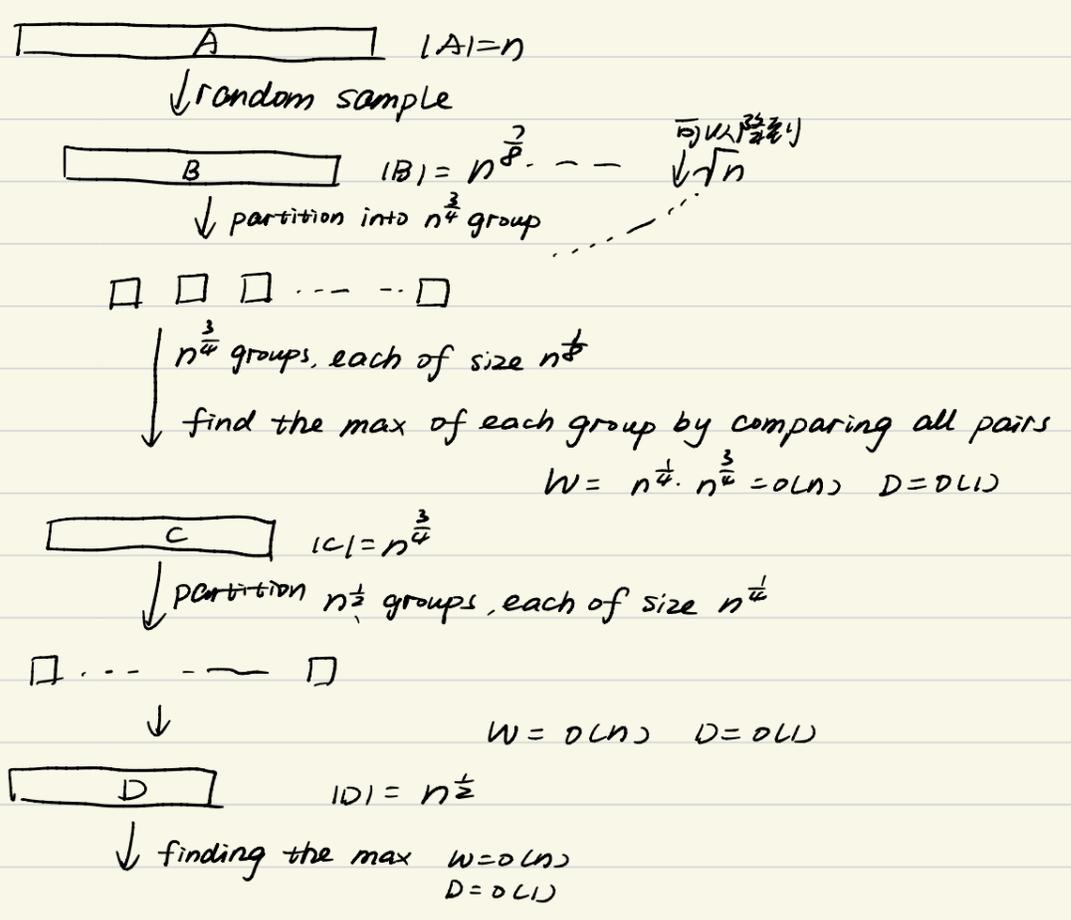
$$\Rightarrow W(n) = O(Ln \log \log n)$$

$$D(n) = O(\log \log n)$$



- ① solve subproblems using serial ranking
 $W_1 = O(Ln)$ $D_1 = O(L^{1/k})$
 - ② find the maximum among the k using D & C
 $W_2 = O(k \log \log k)$
 $D_2 = O(\log \log k)$
- total: $W = O(Ln + k \log \log k)$ $W = O(Ln)$
 $D = O(\frac{n}{k} + \log \log k)$ Let $k = \frac{n}{\log \log n} \Rightarrow D = O(\log \log n)$

5. Random sampling $W = O(Ln)$ $D = O(L)$
 with highly prob $1 - \frac{1}{n^c}$ return maximum.



Random sample

```

for i; 1 ≤ i ≤ n^{2/3} pardo
  B[i] = random select from A
  
```

可能選獲

```

Round 2 for i; 1 ≤ i ≤ n^{1/3} pardo
  B[i]
  
```

```

for i; 1 ≤ i ≤ n pardo
  if A[i] > m.
  
```

可能重復

如果 m 大, 那漏的就少
 R2 success ↑

throw $A[i] > m$ into a random space of B.
 find a maximum of B. $W = O(Ln)$. $D = O(L)$

若 E_1 rank(m) ≤ $n^{1/3}$ and all $A[i] > m$ are thrown in different places of B. E_2
 \downarrow 充氣
 SUCCESS.

不懂 無所得

$$Pr(\text{success}) \geq Pr(E_1 \cap E_2) \geq Pr(E_1) Pr(E_2 | E_1)$$

$$(1 - \frac{1}{x})^x \leq e^{-1}$$

E_1 : 每次成功 $\frac{n^{\frac{1}{4}}}{n} = \frac{1}{n^{\frac{3}{4}}}$

$$\Pr(E_1) \geq 1 - (1 - \frac{1}{n^{\frac{3}{4}}})^{n^{\frac{7}{4}}} \geq 1 - (1 - \frac{1}{n^{\frac{3}{4}}})^{n^{\frac{3}{4}} \cdot n^{\frac{7}{4}}}$$

$$n^{\frac{1}{4}} \rightarrow n^{\frac{7}{4}}$$

$$\frac{1}{n^{\frac{3}{4}}}$$

$$\geq 1 - e^{-n^{\frac{7}{4}}}$$

$\Pr(E_2 | E_1)$

Local Search

$f(n) = (an - b)^2$ unknown
 find $\operatorname{argmin}_{n \in \mathbb{Z}} f(n)$

Optimization problem (e.g. minimization)

$C = \{S \mid S \text{ is feasible}\}$ 可行解
 $C: C \rightarrow \mathbb{R}$ cost find $\operatorname{argmin}_{S \in C} C(S)$ 找代价最小、可行解

- ① pick a solution S from C
- ② while S has a better neighbor S' ($C(S') < C(S)$)
- ③ $S \leftarrow S'$

Vertex Cover

Given a graph $G = (V, E)$ $S \subseteq V$ s.t. every $e \in E$ has at least one endpoint in S

find a minimum vertex cover S

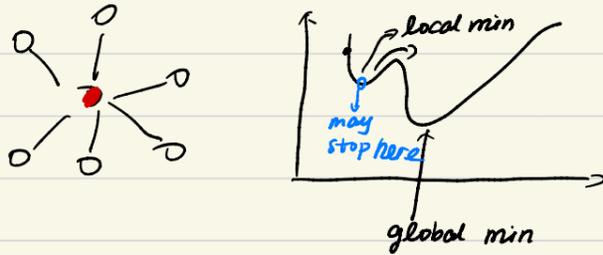
$C = \{S \mid S \text{ is a vertex cover}\}$

$C(S) = |S|$

$N(S) = \{S' \mid S' \text{ is a vertex cover and } S' \text{ can be obtained from } S \text{ by adding/deleting a single node}\}$
neighborhood of S

LSVC (V, E)

- ① $S = V$
- ② if $S - \{u\}$ is a vertex cover for some $u \in S$
- ③ $S := S - \{u\}$



Metropolis Algorithm

1. Let k, T be 2 constants.
2. pick a solution S from C
3. while true.
4. randomly pick a sol S' from $N(S)$
5. if $C(S') < C(S)$
6. $S := S'$
7. else // $C(S') \geq C(S)$ $\Delta C = C(S') - C(S)$
8. set $S := S'$ with probability $e^{-\frac{\Delta C}{kT}}$ 一定prob 爬出坑 $\Delta C \uparrow \text{prob} \downarrow$ $T \downarrow \text{prob} \uparrow$ 温度
9. break when some conditions hold.

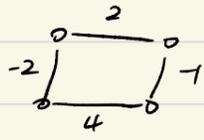
Simulated Annealing 模拟退火

gradually decreasing $T \downarrow$ 先迭代, 再稳定

无法分析

Hopfield Network Problem

Input: $G=(V,E)$ with edge weight $w: E \rightarrow \mathbb{Z}$



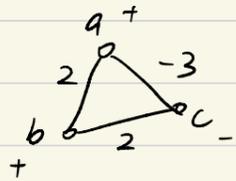
$s: V \rightarrow \{+1, -1\}$ 给节点赋值
↓
configuration

e is a good edge (1) if $w_e > 0, s(u) \neq s(v) \} w_e s_u s_v < 0$
(2) ... $< =$

bad otherwise.

Objective. 1. $\max \sum_{e \text{ is good}} |w_e|$

Objective. 2. $\max \sum_{e \text{ good incident to } u} |w_e|$



good: $\{bc\}$
bad: $\{ac, ab\}$

$\psi(c)=2$ 若 $c \rightarrow +$ good $\{ac\}$ $\psi(c)=3$
bad $\{ab, bc\}$

稳定, 不会跳槽

Given a configuration S , a node u is satisfied if $\sum_{e \text{ good incident to } u} |w_e| \geq \sum_{e \text{ bad}} |w_e|$

A configuration S is stable if every node u is satisfied.

State-flipping

local search
to max objective $\Phi(S)$

stop? ✓

1. pick an arbitrary configuration S
2. while some node u is not satisfied
3. flip the state of u .
4. return S .

config. $\Phi(S) := \sum_{e \text{ good}} |w_e|$ objective 1 每一步都在 $\uparrow \Phi$

$$S \xrightarrow{\text{flip } u} S'$$

$$\Phi(S') = \Phi(S) - \sum_{\text{good } u} + \sum_{\text{bad } u} > \Phi(S) \quad \text{每次至少 } \uparrow 1$$

$$\Phi(S) = \sum |w_e| = W$$

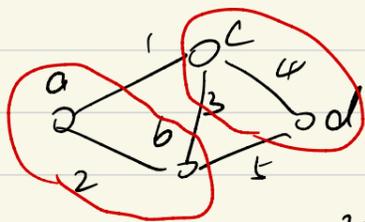
Maximum Cut Problem (NP-hard)

Given a undirected graph $G=(V,E)$ with edge weight $w: E \rightarrow \mathbb{Z}^+$

A cut (A,B) is a partition of V into two non-empty subsets A and B .

$$S(A,B) = \{(u,v) \in E \mid u \in A, v \in B\} \text{ 割边}$$

$$w(A,B) = \sum_{e \in S(A,B)} w_e$$



$$w=9$$

Input: a edge weighted graph $G=(V,E)$

Output: a max cut

A special case of Hopfield with $w_e > 0$ for all e . (e is a good edge $\Leftrightarrow e$ is cut edge)

State-flip-Max-Cut:

- pick an arbitrary cut (A, B)
- while some nodes u are not satisfied.

$$\left(\sum_{\text{cut}} W_e < \sum_{\text{non-cut}} W_e \right)$$

- flip the membership of u .

local max in $O(W)$ iterations

↓
2-approx

input size $\log W$
↳ pseudo-poly

(A, B) is stable

for $u \in A$, $\sum_{\substack{e=(u,v) \\ v \in B}} W_e \geq \sum_{\substack{e=(u,v) \\ v \in A}} W_e$

A 型 的 边.

$$2 \sum_{\substack{(u,v) \\ u,v \in A}} W_e = \sum_{u \in A} \sum_{\substack{e=(u,v) \\ v \in A}} W_e \leq \sum_{u \in A} \sum_{\substack{e=(u,v) \\ v \in B}} W_e = W(A, B)$$

类似有 $2 \sum_{\substack{(u,v) \\ u,v \in B}} W_e \leq W(A, B)$

$$\sum_{e \in E} W_e = \sum_{\substack{(u,v) \\ u,v \in A}} W_e + \sum_{\substack{(u,v) \\ u,v \in B}} W_e + \sum_{e \in \delta(A, B)} W_e$$

$$\leq \frac{1}{2} W(A, B) + \frac{1}{2} W(A, B) + W(A, B)$$

我们 $\Rightarrow W(A, B) \geq \frac{1}{2} \sum_{e \in E} W_e \geq \frac{OPT}{2}$
算法得到的割边和

fast?

Idea. update only when there is a big improvement.

flip a node u only when it increases $w(A, B)$ by a fraction of at least $\frac{\epsilon}{|V|}$

$(1 + \frac{\epsilon}{n})^{\frac{n}{\epsilon}} \geq 2$

$w(A', B') \geq (1 + \frac{\epsilon}{n}) w(A, B)$

循环 $\frac{n}{\epsilon}$ 次, w 翻倍

$O(\frac{n}{\epsilon} \cdot \log W)$ iterations

$N(A, B) = \{ (A', B') \mid (A', B') \text{ can be obtained from } (A, B) \text{ by flipping } \leq k \text{ nodes} \}$

$O(n) \rightarrow O(n^k)$

↓
 k
(邻域变大)

Kernighan and Lin (1970)

$(A, B) \rightarrow \{ (A_1, B_1), (A_2, B_2), \dots, (A_m, B_m) \}$

- 找改变之后 Δ 最大的点.
- 从未被改变点批. 最大 $O(n^2)$ 找 neighbor 而且 neighbor 范围广

近似算法

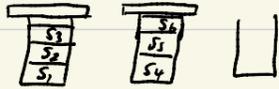
1. all instances
2. polynomial time
3. optimal solution

Binpack Problem

Input: n items with size s_1, \dots, s_n ($0 < s_i \leq 1$)

Output: packing the items using fewest bins with unit capacity

Next Fit



B_1, \dots, B_k

$s(B_i)$

$$s(B_1) + s(B_2) > 1$$

$$s(B_2) + s(B_3) > 1$$

$$\dots$$

$$s(B_{k-1}) + s(B_k) > 1$$

$$\rightarrow s(B_1) + \sum_{i=2}^{k-1} s(B_i) + s(B_k) > k-1$$

$$\sum_{i=1}^k s(B_i) > \frac{k-1}{2}$$

$$\Rightarrow \text{OPT} > \frac{k-1}{2}$$

$$\text{NF} = k \begin{cases} k=2m & \text{OPT} \geq m \\ k=2m+1 & \text{OPT} \geq m+1 \end{cases}$$

$$\Rightarrow \frac{\text{NF}}{\text{OPT}} \leq 2$$

2-approx alg, it has an approx ratio of (at most) 2.

Given an alg A , if for any instance I , $\max \left\{ \frac{A(I)}{\text{OPT}(I)}, \frac{\text{OPT}(I)}{A(I)} \right\} \leq p(I)$

we say A is a $p(I)$ -approx alg. 最大/最小

\hookrightarrow absolute approx ratio

$$2n \quad \left(\frac{1}{2} \quad \& \quad \frac{1}{2} \quad \& \quad \dots \right) \quad \left(\& < \frac{1}{n} \rightarrow 0 \right)$$

$$\text{NF} = n$$

$$\text{OPT} = \frac{n}{2} + 1 \quad \frac{\text{NF}}{\text{OPT}} = 2 - \frac{1}{n+2} \rightarrow 2$$

AnyFit:

for $i=1$ to n :
 if any opened bin has enough space
 put item i into one of such bins
 else open a new bin
 put an item i into it.

如何选择?



0.7	0.5	0.4	0.1	
FF				BF
0.1	0.4			0.1
0.7	0.5	0.7	0.5	

Theorem. $\text{BF}(I), \text{FF}(I) \leq 1.7 \text{OPT}(I)$ for any I
 $\exists I. \text{BF}/\text{FF}(I) \geq 1.7(\text{OPT}(I)-1)$

FF decreasing = sort + FF
 BF ----- = ----- BF

Theorem: $\forall I, \text{FFDL}(I) \leq \frac{11}{9} \text{OPT}(I) + \frac{6}{9}$
 $\text{BFD}(I)$? tight

渐近逼近渐近逼近渐近逼近
 $\frac{\text{FFDL}(I)}{\text{OPT}(I)} \leq \frac{\lfloor \frac{11}{9} \text{OPT}(I) + \frac{6}{9} \rfloor}{\text{OPT}(I)} \leq \frac{3}{2}$

online			offline	
NF	FF	BF	FFD	BFD
2	1.7	1.7	1.5	1.5

Theorem: For any binpacking problem

no poly-time alg can achieve an approx ratio

better than $\frac{3}{2}$ unless $P=NP$

no online alg is better than $\frac{5}{3}$.

Knapsack Problem

Input: n items $(v_1, w_1) \dots (v_n, w_n)$

capacity C

Output: fit the knapsack so as to maximize the tot value.

整数: $D(n, C)$ $O(nV)$ ($V = \sum v_i$) 伪多项式

Fractional version

A1 greedy on $\frac{v_i}{w_i}$

Integral version (NP-hard)

A2 greedy on v_i

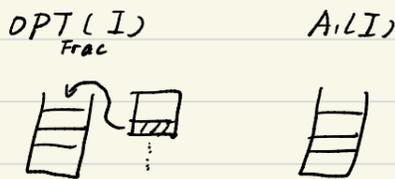
	item	value	weight	
$C=10$	1	9	1	$A_2(I)=10$
	11	10	10	$\text{OPT}=90$

$A^*(I)$

- run A1 and A2 on I
- return better of $A_1(I)$ and $A_2(I)$

Theorem: A^* has an approx ratio of 2

Proof: I.



$$A^*(I) \geq \begin{cases} A_1(I) \geq \text{OPT}_{\text{frac}}(I) - V_{\text{max}} \\ A_2(I) \geq V_{\text{max}} \end{cases}$$

$$\geq 2 A^*(I) \geq \text{OPT}_{\text{frac}}(I) \geq \text{OPT}_{\text{int}}(I)$$

$$D(n, V) \quad V = \sum v_i \leq n \cdot V_{\text{max}} \Rightarrow D(n^2 V_{\text{max}}) \quad \text{pseudo}$$

$$v_1 \dots v_n \quad d = \text{gcd}(v_1 \dots v_n) \quad v_1/d \dots v_n/d$$

$$w_1 \dots w_n \quad w_1 \dots w_n$$

最优解对应集合一样

$$d = \frac{\sum V_{max}}{n}$$

$$\hat{V}_i = \left\lceil \frac{V_i}{d} \right\rceil$$

scaling

$$V_{max}^{\hat{}} = \left\lceil \frac{V_{max}}{d} \right\rceil = \left\lceil \frac{n}{\delta} \right\rceil = O\left(\frac{n}{\delta}\right)$$

$$O(n^2 V_{max}^{\hat{}}) = O\left(\frac{n^3}{\delta}\right)$$

S

$$V(S) = \sum_{i \in S} V_i$$

$$\hat{V}(S) = \sum_{i \in S} \hat{V}_i = \sum_{i \in S} \left\lceil \frac{V_i}{d} \right\rceil \geq \frac{V(S)}{d}$$

$$\frac{V(S)}{d} + |S| = \frac{V(S)}{d} + n$$

代d

$$V(S) + \delta V_{max} = V(S) + n \cdot d \geq d \cdot \hat{V}(S) \geq V(S)$$

OPT under \hat{V}_i

\hat{S}

$$V(\hat{S})$$

$$\text{fix } \hat{S}: V(\hat{S}) + \delta V_{max} \geq d \hat{V}(\hat{S})$$

OPT under V_i

S^*

$$V(S^*)$$

$$\text{fix } S^*: d \cdot \hat{V}(S^*) \geq V(S^*)$$

$$d \cdot \hat{V}(\hat{S}) \geq d \hat{V}(S^*) \quad (\because \hat{S} \text{ 是 } \hat{V} \text{ 最优解})$$

$$\Rightarrow \begin{cases} V(\hat{S}) + \delta V_{max} \geq V(S^*) \\ V(S^*) \geq V_{max} \end{cases}$$

$$\Rightarrow V(\hat{S}) + \delta V(S^*) \geq V(S^*)$$

$$\Rightarrow V(\hat{S}) \geq (1 - \delta) V(S^*)$$

$$\frac{V(S^*)}{V(\hat{S})} \leq \frac{1}{1 - \delta} \leq 1 + \epsilon \quad (\epsilon = 2\delta)$$

近似比可以调节

Definition 11.2 (PTAS) A polynomial-time approximation scheme (PTAS) is a family of algorithm $\{A_\epsilon\}$, where there is an algorithm for each $\epsilon > 0$, such that $\{A_\epsilon\}$ is a $(1 + \epsilon)$ -approximation algorithm, and its running time is polynomial in the size n of its input instance.

Definition 11.3 (FPTAS) A polynomial-time approximation scheme (PTAS) is a family of algorithm $\{A_\epsilon\}$, where there is an algorithm for each $\epsilon > 0$, such that $\{A_\epsilon\}$ is a $(1 + \epsilon)$ -approximation algorithm, and its running time is polynomial in the size n and $1/\epsilon$.

$$O\left(\frac{n^3}{\epsilon}\right) \rightarrow \text{FPTAS}$$

PTAS: 近似比可调节

$$O(n^{\frac{1}{\epsilon}}) \text{ PTAS}$$

$$O(f(\frac{1}{\epsilon}) \cdot \text{poly}(n)) \text{ efficient PTAS}$$

$$O(\text{poly}(\frac{1}{\epsilon}) \cdot \text{poly}(n)) \text{ FPTAS}$$

k-center Problem

Input: n site S_1, \dots, S_n

and an integer k

Output: a set of k centers so as to minimize the max distance from a site to its nearest center.



$\text{dist}(x, y)$: distance between x and y .

$\text{dist}(x, C) = \min_{y \in C} \text{dist}(x, y)$ (x 到点集 C)

$r(C) = \max_x \text{dist}(x, C)$

hardness complexity

easy sum $O(n)$

hardest, undecidable (incomputable)

e.g. Halting problem

Given a problem P and an input x .

does P halt on x ?

Assume \exists .

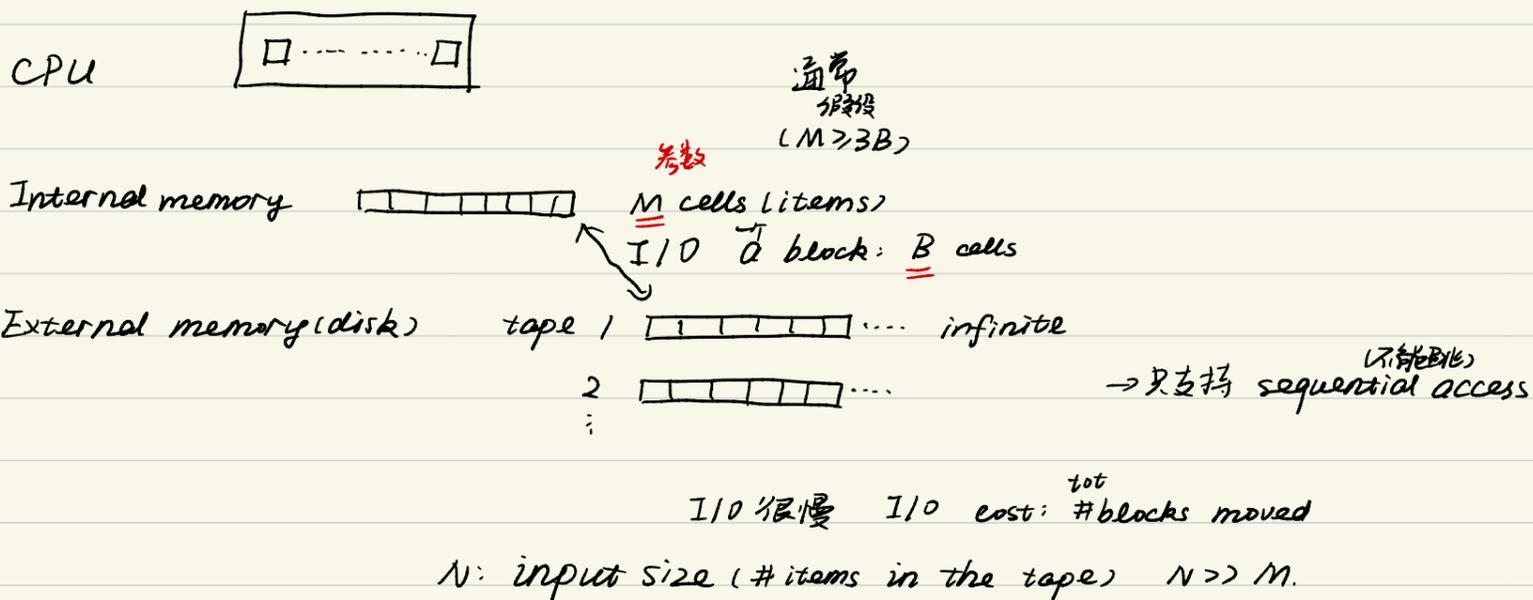
$\text{Halt}(P, x) \begin{cases} \text{yes. if } P \text{ halts on } x \\ \text{no. otherwise} \end{cases}$

Diagonal (P)

1. if $\text{Halt}(P, P)$ ^{⌊ 看字符串}
2. go to step 1

External Sort

External memory model

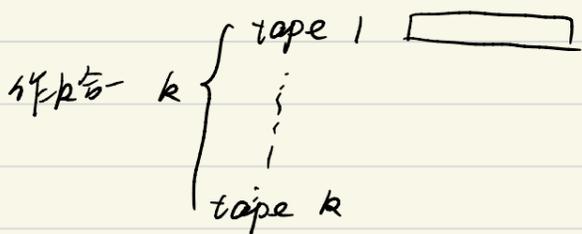


Scan a_1, \dots, a_n
 I/O cost: $O(\frac{N}{B})$ linear time cost (not linear) $\frac{N}{B}$ times
one pass: 所有数据打一遍

Sorting

2-way merge: # passes: $1 + \lceil \log_2 \frac{N}{M} \rceil$
 I/O cost: $O(\frac{N}{B} \cdot \log_2 \frac{N}{M})$ 每次 pass: $\frac{N}{B}$ I/O
 要用多个 tape: 方便合并
 有 $\frac{N}{M}$ 个 runs, 每次

passes \downarrow \leftarrow k -way merge: # passes: $1 + \lceil \log_k \frac{N}{M} \rceil$
 I/O cost: $O(\frac{N}{B} \cdot \log_k \frac{N}{M})$



k blocks

2倍用来放输出(交替)

$$(k+2)B \leq M$$

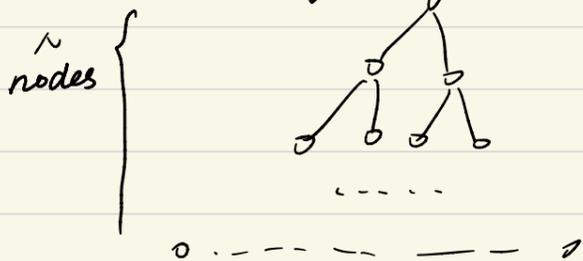
$$\Downarrow$$

$$k \leq \frac{M}{B} - 2$$

$$\text{pass: } 1 + \log_{\frac{M}{B}-2} \frac{N}{M}$$

$$\text{cost: } O(\frac{N}{B} \cdot \log_{\frac{M}{B}-2} \frac{N}{M})$$

searching



最坏: 点都在不同 blocks

$$O(\log_2 N)$$

\downarrow
B+树

$$\text{avg} = 2M$$

Longer run (run \downarrow , pass \downarrow)

尽可能多取, 直到不行为止 replacement selection

2 4 5 15 huffman tree

$2k$ tapes \times
 Fibonacci: $F_0, F_1, 2, 3, \dots, F_N = F_{N-1} + F_{N-2} \ (N \geq 2)$
 $T_1: F_N \rightarrow F_{N-1} \rightarrow \dots \rightarrow$
 $\quad \quad \quad F_{N-2} \quad \quad \quad 1$
 $\quad \quad \quad 0 \quad \quad \quad 0 \quad O(\log_{\frac{3}{2}} F_N) \quad \log_2 F_N$
 $\quad \quad \quad 0 \quad \quad \quad 0 \quad \quad \quad \text{时间} \uparrow, \text{tape} \downarrow$
 $T_1: F_{N-1} + \dots + F_{N-k}$
 $T_2: F_{N-1} + \dots + F_{N-k+1}$
 $?$
 $T_k: F_{N-1}$
 $T_{k+1}: 0$
 polyphase merge
 $k+1$ tapes

$F_n = F_{n-1} + F_{n-2} + F_{n-3}$
 F_n
 $0 \rightarrow F_{n-1} \rightarrow$
 $0 \rightarrow F_{n-2} \rightarrow$
 $0 \rightarrow F_{n-3}$

$F_{n-1} = F_{n-2} + F_{n-3} + F_{n-4}$
 $F_{n-2} = F_{n-3} +$

Input: multiset A

Output: multiset B

1. $A = \{0, \max(L)\}$
2. $D = D - \{\max(L)\}$
3. $TPL(D, A)$ 决策 中间点

$TPL(D, A)$

1. if D empty } $O(1)$
2. return true
3. $d = \max(L)$ max time
4. for $a^* = d - a_0$ or $\max(A) - d$
5. $\Delta = \{dist(a^*, a) : a \in A\}$ $O(N)$
6. if $\Delta \subseteq D$ n-findkey
7. $D = D - \Delta$ n-del time
8. $A = A \cup \{a^*\}$ $O(1)$
9. if $TPL(D, A)$ } $O(1)$
10. return true
11. else
12. $D = D \cup \Delta$ n-ins time
13. $A = A - \{a^*\}$ $O(1)$
14. return false

$O(N) + \max\{time\} + n \text{ findkey} + n \cdot \text{del} + n \cdot \text{ins}$
 time per node $O(N \log N)$ BST

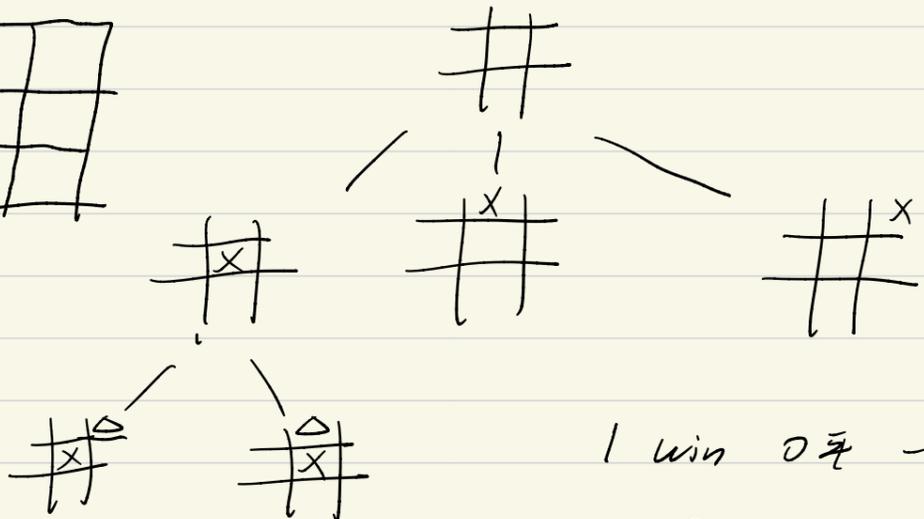
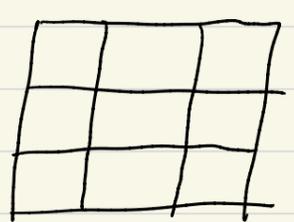
someone proves

worst case: $\Theta(2^n)$ nodes rare

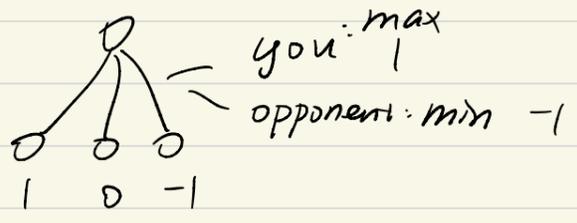
best case: $O(n)$ nodes most instances

Game

tic-tac-toe



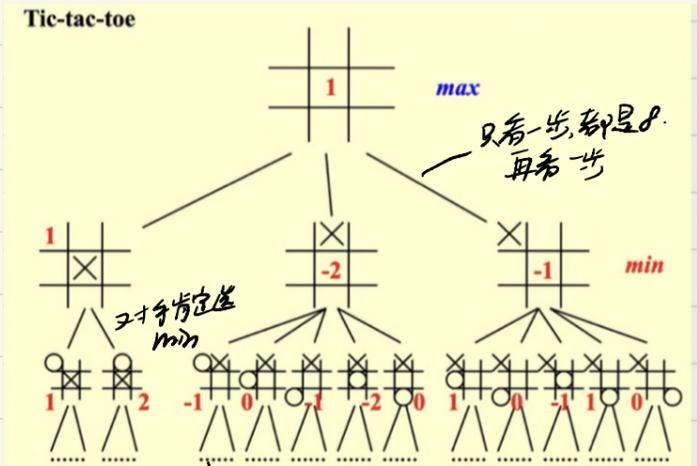
1 win 0 tie -1 lose



回溯一慢！ 无法快速得到

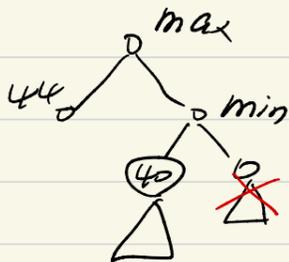
$$fLP) = W_{you} - W_{opponent}$$
 #potential wins

$W_{you} = 6$ (假设对手不表)
 $W_{opponent} = 4$ $fLP) = 2$

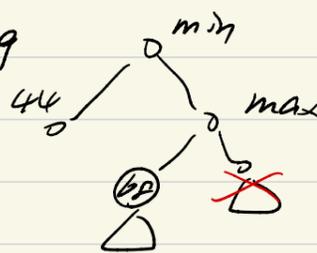


这-1, (-2)子树不可能大于-1. 比-1小, 剪枝!

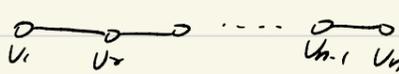
α pruning



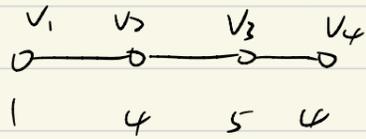
β pruning



Weighted Independent Set on A Path

Input  with weight $w_1 \dots w_n$

Output: an independent set S with maximum weight
 ↓
 a subset of vertices s.t. no two are connected by an edge



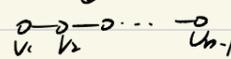
$$\text{opt}(G_1) = 6$$

$$\text{opt}(G_2) = 4$$

$$\text{opt}(G_4) = \begin{cases} 6 \\ 4+4=8 \end{cases}$$

Input 

Case 1. $v_n \notin S^*$ $S^* = \text{opt for } G_{n-1}$



Case 2. $v_n \in S^*$ $S^* = \{v_n\} \cup \text{opt for } G_{n-2}$
 $\{v_{n-2}, \dots, v_1\}$

Subproblems

for $i \in [0, n]$, define

$c[i]$ = total weight of opt for G_i

$$c[n] = \max\{c[n-1], c[n-2] + w_n\}$$

Recurrences

$$\begin{cases} c[i] = \max\{c[i-1], c[i-2] + w_i\} \text{ for any } i \in [2, n] \\ c[i] = w_i \\ c[0] = 0 \end{cases} \text{ base case}$$

Computing $c[i]$

1. recursion

recur(i)

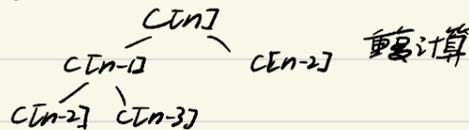
if $i=0$ or $i=1$

return base case

else if $i \geq 2$

return $\max\{ _, _ \}$

$$T(n) = T(n-1) + T(n-2) + O(1) \Rightarrow T(n) = O(c^n)$$



2. recursion with memoization

global $c[0 \dots n]$

$$c[0] = 0, c[i] = w_i, c[i] = -1, i > 1$$

recur(i)

if $c[i] \geq 0$.

return $c[i]$

else

$$c[i] = \max\{ _, _ \}$$

return $c[i]$

$D(n)$

3. Iteration

$C[0] = 0, C[i] = w_i$
 for $i = 2$ to n
 $C[i] = \max\{ \dots, \dots \}$ $O(n)$

Reconstructing OPT solution

$C[0], C[1], \dots, C[n]$

S^*

if $C[n] == C[n-1]$

$v_n \notin S^*$

else $|| \neq$

$v_n \in S^*$

$S^* = \emptyset$

$i = n$

while $i \geq 2$:

if $C[i] == C[i-1]$:

$i = i - 1$

else

$S^* = S^* \cup \{v_i\}$

$i = i - 2$

if $i == 1$:

$S^* = S^* \cup \{v_1\}$

return S^*

Recon(n)

1. if $n == 0$ or 1

2. base case

3. if $n \geq 2$

4. if $C[n] == C[n-1]$

5. return Recon($n-1$)

6. else

7. return $\{v_n\} \cup \text{Recon}(n-2)$

$O(n)$

Dynamic Programming

1. define subproblems

2. finding recurrence

3. computing the optimal value for (sub)problems

4. reconstructing the optimal solution

Knapsack Problem

Input: n items with weight w_1, \dots, w_n

and values v_1, \dots, v_n

capacity C

Output: a subset of items with $\max \sum_{i \in S} v_i$ st. $\sum_{i \in S} w_i \leq C$

Case 1: $n \notin S^*$

$S^* := \text{opt for first } n-1 \text{ items with total weight } \leq C$

Case 2: $n \in S^*$

$S^* = \{n\} + \text{opt for first } n-1 \text{ items with total weight } C - w_n$

Subproblems:

for $i \in [0, n]$, for $c \in [0, C]$

define $V[i, c]$ be the max value of a subset of first i items with total weight at most c .

Recurrence

$$V[i, C] = \max\{V[i-1, C], V[i-1, C-w_i] + v_i\}$$

for any $i \in [1, n]$, for any $C \in [0, C]$

$$\begin{cases} V[i, C] = \max\{V[i-1, C], v_i + V[i-1, C-w_i]\} \\ V[0, C] = 0 \text{ for } C \in [0, C] \\ V[i, C] = -\infty \text{ for } C < 0 \end{cases}$$

may < 0

Compute

```

V[0, C] = 0 for C in [0, C]
for i = 1 to n
  for C = 0 to C
    if w_i > C
      V[i, C] = V[i-1, C]
    else
      V[i, C] = max{_, _}
return V[n, C]
time O(nC)
space O(nC)
  
```

Reconstructing

```

DPT sol
C = C
S = {}
for i = n to 1
  if C >= w_i and V[i, C] = V[i-1, C-w_i] + v_i
    S = S union {v_i}
    C = C - w_i
return S
time O(n)
space O(nC)
  
```

Remark

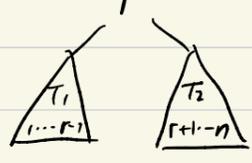
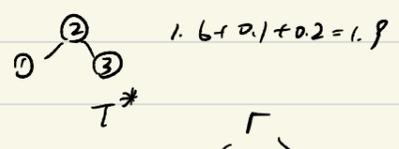
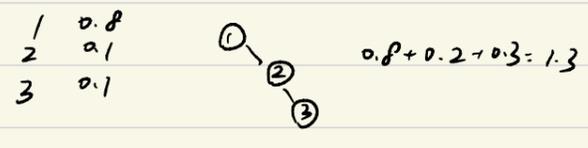
time: $O(nC)$
 \hookrightarrow pseudo-polynomial time $\xrightarrow{\log_2 C}$ bits to represent C
 关于值 \checkmark
 规模 \times

space: if we only care about opt values
 $O(n + C)$
 if requires DPT sol
 $O(nC)$ (但也降到 $O(n + C)$)

Optimal BST

Input: n keys $1, 2, \dots, n$ with freq P_1, P_2, \dots, P_n

Output: a BST with min avg search time
 $\sum_{i=1}^n P_i (d_i + 1)$



search time of k in $T^* = 1 + \text{search time of } k \text{ in } T_1$

$$\sum_{k=1}^n P_k \cdot \text{search time of } k \text{ in } T^* = \sum_{k=1}^{r-1} P_k (\text{search time of } k \text{ in } T_1 + 1) + P_r + \sum_{k=r+1}^n P_k (\text{search time of } k \text{ in } T_2 + 1)$$

$$\text{average search time of } T^*_{C[1,n]} = \sum_{k=1}^n P_k + \text{average search time in } T_1_{C[1,r-1]} + \dots + T_2_{C[r+1,n]}$$

subproblems

for $i \in [1, n+1], j \in [0, n]$

define $c[i, j]$ be the avg search time of the optimal BST for key $[i \dots j]$ with freq $P_i \dots P_j$

不知根节点

$$c[1, n] = \min_{1 \leq r \leq n} \{ c[1, r-1] + c[r+1, n] + \sum_{k=1}^n P_k \}$$

Recurrence

$$c[i, j] = \min_{i \leq r \leq j} \{ c[i, r-1] + c[r+1, j] + \sum_{k=i}^j P_k \}$$

$c[i, j] = 0$ if $i > j$

Computing $c[i, j]$

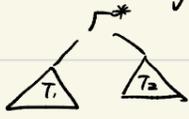
```

c[i, i-1] = 0 for i = 1 to n           O(n^3)
for l = 0 to n
  for r = 1 to n-l
    c[i, l+i] = sum_{k=i}^{i+l} P_k + min_{i \leq r \leq j} { c[i, r-1] + c[r+1, i+l] }
return c[1, n]
    
```

记录 $r[i, l+i]$

Reconstruction

recur(i, j)

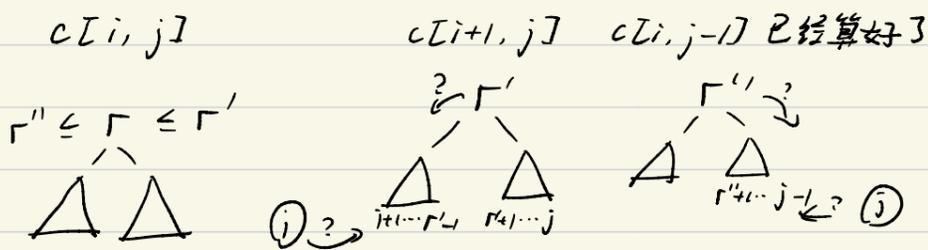
- if $i = j$. trivial
- $r^* = \text{argmin} \{ c[i, r-1] + c[r+1, j] + \sum_{k=i}^j P_k \}$
- $T_1 = \text{recur}(i, r^*-1)$
- $T_2 = \text{recur}(r^*+1, j)$
- return 

recursive calls: $O(n)$

time for each: $O(n)$

total = $O(n^2) \rightarrow O(n)$

$O(n^3) \rightarrow O(n^2)$



$$M_{a \times b} M_{b \times c} \rightarrow a \left(\begin{array}{|c|} \hline \\ \hline \end{array} \right) b \left(\begin{array}{|c|} \hline \\ \hline \end{array} \right) c$$

$$M_{4 \times 3} M_{3 \times 2} M_{2 \times 1} \quad (4 \times 3 \times 2) + (4 \times 2 \times 1) = 32$$

$$(3 \times 2 \times 1) + (4 \times 3 \times 1) = 18$$

Input: M_1, M_2, \dots, M_n
 $r_0 \times r_1, r_1 \times r_2, \dots, r_{n-1} \times r_n$

Output: best order of performing multiplication

$b_i = \# \text{ways to } \times i \text{ matrices}$

$$b_1 = b_2 = 1 \quad b_3 = 2 \quad b_4 = b_3 b_1 + b_2 b_2 + b_1 b_3 = 5$$

$$b_i = \sum_{j=1}^{i-1} b_j b_{i-j} \quad b_n = \frac{4^n}{n!}$$

$$\left(\begin{array}{c} M_1 \dots M_k \\ M_{r_0 \times r_k} \end{array} \right) \left(\begin{array}{c} M_{k+1} \dots M_n \\ M_{r_k \times r_n} \end{array} \right)$$

$O(r_0 \times r_k \times r_n) + \text{min time mul first } k \text{ matrices}$
 $+ \dots \dots \dots \text{last } n-k \dots \dots$

Subproblem

for $i, j \in [1, n]$
 $c[i, j] = \text{min cost for perform } M_i \dots M_j$

$$c[1, n] = \min_{1 \leq k \leq n-1} \{ r_0 \cdot r_k \cdot r_n + c[1, k] + c[k+1, n] \}$$

$$\begin{cases} c[i, j] = \min_{i \leq k \leq j-1} \{ r_{i-1} \cdot r_k \cdot r_j + c[i, k] + c[k+1, j] \} \\ c[i, i] = 0 \text{ for } i \in [1, n] \end{cases}$$

Input: a set of n activities I_1, \dots, I_n
 $(s_1, f_1), \dots, (s_n, f_n)$

Output: a max set S of compatible activities
 \downarrow
max total weight